

SBC STRATEGIC AUDIT PLAN: 2006/07 - 2010/11

	Risk	2006.07 Days	2007.08 Days	2008.09 Days	2009.10 Days	2010.11 Days	% of audit attributable to SBC
Horizontal audits (*):							
Building & premises maintenance	Medium	0	25	0	25	0	10
Car parking (on and off street, lorries)	Medium	0	20	0	20	0	
Cash & payments	Key	12	15	12	15	12	70
Community transport & concessionary fares	Medium	20	0	20	0	20	
Contract management	Medium	20	0	20	0	20	40
Creditor payments	Key	13	20	13	20	13	60
Current contracts	High	25	25	25	25	25	40
Energy (utility invoicing, conservation)	Medium	20	0	20	0	20	
Grants to external bodies	Medium	0	15	0	15	0	
Information management (RIPA, DP Act, FOI)	Medium	20	2	20	2	20	60
Land & property management (purchases, sales, estates)	Medium	0	20	0	20	0	
Leisure & recreation	Medium	0	20	0	20	0	
Licensing (taxi, misc)	Medium	20	0	0	20	0	
Markets	Medium	0	0	15	0	15	
Officer's expenses (mileage, petty cash, card purchases)	Medium	0	0	20	0	20	60
Open spaces (parks, playing fields, grounds maint, allotments)	Medium	25	0	25	0	25	
Payroll	Key	22	13	22	13	22	60
Procurement	Medium	0	15	0	15	0	
Risk management	Medium	0	15	0	15	0	
Services for elderly people (community meals, day centres)	Medium	20	0	20	0	20	
Services for young people (play, youth)	Low	0	0	0	25	0	
Stores	Medium	0	15	0	15	0	20
Sundry debtors	Key	13	20	13	20	13	80
Training	Low	0	15	0	0	0	
Vehicles & plant (utilisation, procurement, maintenance)	Medium	20	0	20	0	20	
Waste management (collection, recycling)	Medium	20	0	20	0	20	
Vertical audits (*):							
Benefits	Key	22	13	22	13	22	
Benefit fraud	Medium	15	0	15	0	15	
Building control	Medium	0	15	0	15	0	
Business rates	Key	13	20	13	20	13	
Car loans & leasing	Medium	15	0	15	0	0	
CCTV	Medium	0	15	0	15	0	
Cemeteries	Medium	0	15	0	15	0	
Council tax	Key	13	20	13	20	13	
Development control	Medium	17	0	17	0	17	
Drainage	Low	0	0	0	0	15	
Environmental health	Medium	20	0	20	0	20	
Environmental services	Medium	15	15	0	0	15	
Highways	Low	0	15	0	0	0	
Information technology	High	30	30	30	30	30	
IT systems development and major upgrades	High	0	15	15	15	15	
Insurance	Medium	0	15	0	15	0	
Land charges	Low	15	0	0	0	0	
Main accounting	Key	17	13	17	13	17	
Member's allowances	Low	0	0	0	15	0	
Mortgages	Low	0	0	15	0	0	
Museum	Low	0	15	0	0	0	
Personnel	Medium	0	20	0	20	0	
Renovation grants	Medium	0	15	0	15	0	
Stevenage Leisure	Medium	20	0	20	0	20	
Street cleansing	Medium	0	0	15	0	15	
Treasury management	High	15	15	15	15	15	
Corporate contribution							
Audit advice and consultancy	n/a	35	30	30	30	30	
Audit follow up	n/a	23	23	23	23	23	
Best value performance indicators (incl interim review)	n/a	15	20	20	20	20	
Contribution to corporate mgmt (incl audit ctte)	n/a	9	9	9	9	9	
Non audit duties (appraisals & procurement)	n/a	20	20	20	20	20	
Special investigations contingency	n/a	38	0	0	0	0	
		637	623	629	623	629	
Additional planned audit assignments for SHL		139	130	132	125	132	
		776	753	761	748	761	

* A horizontal audit is one that cuts across services and or the Council's structure. It typically leads to recommendations at a corporate level.

* A vertical audit is one that is service specific and or self contained. It typically leads to recommendations within a service.